



Kimberley P. Cronin

Partner

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Practice Areas

- Banking and Financial Institutions
- Corporate

Admissions

- Colorado
- Massachusetts

Education & Honors

- University of Colorado Boulder 1993
- Boston College Law School 1997

Associations

- Colorado Chapter of the Financial Planning Association
- South Metro Denver Chamber of Commerce
- Security Industry and Financial Markets (SIFMA) Compliance and Legal Society

Awards

- Expert Contributor – Estrada Strategies Business Radio Show, December 2011
- Frequent contributor as an expert legal consultant.

As part of the firm's Corporate Practice Group, Kimberley's practice focuses on the representation of established companies, start-up firms and individuals. Kimberley provides general corporate and employment counsel and advice on a variety of issues to her clients nationwide. Kimberley also provides counsel and advice to firms and individuals as part of her niche practice. She provides cutting-edge, individualized, strategic, legal advice to financial advisors, registered investment advisory firms, and broker-dealers in the Financial Services Industry. Areas of practice include transition assistance, contracts and agreements, retention and recruitment loan negotiation, FINRA Inquiries, FINRA Enforcement Actions, state regulatory agency inquiries and licensing issues, board certification appeals, arbitration strategy, and general business and strategic growth advice.

Kimberley's corporate practice spans decades and includes all facets of corporate law including corporate entity formation, partnership agreements, buy/sell agreements and acquisition work.

Kimberley has a real passion for working with advisors and advisory firms within the Financial Services Industry and has specialized knowledge of the broad spectrum of issues clients face within the industry. Kimberley's experience includes working with individuals and teams managing between 10M AUM-1B AUM.

Professional Experience

- National Advisor Counsel LLP, Partner, 2012-2014
- Messner & Reeves LLP, Associate, 2008-2012